

Complaint Data for Investment Advisor Registration Number: INA000016807

Data for the month ending – August 2023

Sr.No	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	Oct-2022	0	0	0	0
2	Nov-2022	0	0	0	0
3	Dec-2022	0	0	0	0
4	Jan-2023	0	0	0	0
5	Feb-2023	0	0	0	0
6	Mar-2023	0	0	0	0
7	April-2023	0	0	0	0
8	May-2023	0	0	0	0
9	Jun-2023	0	0	0	0
10	Jul-2023	0	0	0	0
11	Aug-2023	0	0	0	0
12	Sep-2023	0	0	0	0
13	Oct-2023	0	0	0	0
14	Nov-2023	0	0	0	0
15	Dec-2023	0	0	0	0

Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous month	Received	Resolved	Pending
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
	Grand Total	0	0	0	0

Annual Compliance Reporting

“Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 for last and current financial year are as under :

Financial Year	Compliance Audit Status	Remarks, If any
FY 2021-22	Conducted	NA
FY 2022-23	Conducted	NA

Complain Data - Research Analyst Registration Number: INH000009807

Sr.No	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Data for the month ending – August 2023 Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	Sep-2022				
2	Oct-2022	0	0	0	0
3	Nov-2022	0	0	0	0
4	Dec-2022	0	0	0	0
5	Jan-2023	0	0	0	0
6	Feb-2023	0	0	0	0
7	Mar-2023	0	0	0	0
8	April-2023	0	0	0	0
9	May-2023	0	0	0	0
10	Jun-2023	0	0	0	0
11	Jul-2023	0	0	0	0
12	Aug-2023	0	0	0	0
13	Sep-2023	0	0	0	0
14	Oct-2023	0	0	0	0
15	Nov-2023	0	0	0	0
16	Dec-2023	0	0	0	0

Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous month	Received	Resolved	Pending
1	2022-23	0	0	0	0
	Grand Total	0	0	0	0

Annual Audit Reporting

Financial Year	Compliance Audit Status	Remarks, If any
FY 2022-23	Conducted	NA